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PTO/SB/21 (08-03) JUL 1 4 2004 Approved for use through 08/30/2003. OMB 0651-0031
Approved for use through 08/30/2003. OMB 065 Under the Paperwork Reduction Act of 1995, no per Application Number 09/475,141 TRANSMITTAL Filing Date 12/30/1999 **FORM** First Named Inventor Fen-Chung Kung Art Unit (to be used for all correspondence after initial filing) 2667 Examiner Name YAO, Kwang Bin Attorney Docket Number 1999-0353 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority The Commissioner is authorized to charge any additional fee required to Depost Account of Ronald D. Slusky, Attorney at Law, Account No. 502,186 Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Ronald D. Slusky, Registered Patent Attorney Individual name Signature Date *6*07/14/2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Ronald D. Slusky Signature Date 07/14/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Certification is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and authmitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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In re Application of:

JUL 1 4 2004

Fen-Chung Kung et al

Attorney Docket No: 1999-0353

Serial No.: 09/475,141

:Art Unit: 2667

Filed: 12/30/1999

:Examiner: Yao, Kwang Bin

Title: BROADBAND CABLE TELEPHONY NETWORK ARCHITECTURE IP

ITN NETWORK ARCHITECTURE REFERENCE MODEL

COMMISSIONER FOR PATENTS WASHINGTON D.C. 20231

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97, the enclosed Information Disclosure Statement is submitted for consideration in the above-identified application.

The documents cited on the Information Disclosure Statement were cited by the examiner in a co-pending patent application assigned to the present assignee, that co-pending application being 09/475,292.

This Information Disclosure Statement is being filed before the mailing of a first Office action after the filing of a request for continued examination. Accordingly, pursuant to the provisions of 37 CFR 1.97(b)(4), it is believed that no fee is due.

In the event that a fee is due, please charge it to Deposit Account No. 502186.

Respectfully,

Fen-Chung Kung et al

Konald D. Slusky, Attorney

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Phone 212-246-4546

Suite 5L 353 West 56th Street New York, N.Y. 10019-3775 . . .

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	09/475,141	
STATEMENT BY APPLICANT (use as many sheets as (necessary)				Filing Date	12/30/1999	
				First Named Inventor	Fen-Chung Kung	
				Group Art Unit	2667	
(430 6	13 1110117 3110		acessary,	Examiner Name	YAO, Kwang Bin	
Sheet	1	of	1	Attorney Docket Number	1999-0353	
				U.S. PATE	NT DOCUMENTS	

	1 -			U.S. PATENT DOCUMENT		
Examiner Initials*	Cite No.1	U.S. Patent Docu	Kind Code² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figure Appears
······································		5,708,961		Hylton et al	01-1998	
		6,490,274		Kim	12-2002	
		6,262,979		Anderson et al	07-2001	
•••		5,917,624		Wagner	06-1999	
		5,887,259		Zicket et al	03-1999	
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		5,999,612		Dunn et al	12-1999	
		6,263,503		Margulis	07-2001	

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*EXAMINEN: Initial if referenced considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Unique citation designation number. *See attached Kinds of U.S. Patent Documents *Senter Office that issued the document, by the two letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

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